

Kentucky Food Services Incentive Application



Completed Project RESERVATION Estimated date of completion _____ (mm/year)

Questions? 1-866-380-9580 or www.duke-energy.com

Mail ORIGINAL, signed application and required documents to:
Duke Energy
5632 W. 74th St.
Indianapolis, IN 46278

- Data Centers
 Education/K-12
 Education Other
 Elder Care/Nursing Home
 Food Sales/Grocery
 Fast Food Restaurant
 Other _____

Building Type (check one)

- Full Service Restaurant
 Healthcare
 Industrial
 Lodging
 Retail (Non-mall)
 Retail (Mall)
- Office
 Public Assembly/Church
 Public Order/Safety
 Religious Worship
 Service
 Warehouse

Please check each box to indicate completion of the following program requirements:

- all sections of application invoice with make, model number, quantity and equipment manufacturer Tax ID # for payee customer/vendor agree to Terms & Conditions

CUSTOMER INFORMATION

CUSTOMER/BUSINESS _____
()
CONTACT _____ PHONE _____
ACCOUNT NUMBER _____
ADDRESS (WHERE INCENTIVE SHOULD BE MAILED) _____
CITY _____ STATE _____ ZIP CODE _____
INSTALLATION ADDRESS _____
CITY _____ STATE _____ ZIP CODE _____
E-MAIL ADDRESS _____

VENDOR INFORMATION

VENDOR _____
()
CONTACT _____ PHONE _____
ADDRESS _____
CITY _____ STATE _____ ZIP CODE _____
()
FAX _____
E-MAIL ADDRESS _____

If Duke Energy has questions about this application, who should we contact?

- Customer Vendor

PAYMENT INFORMATION

Who should receive incentive payment? (circle one only) CUSTOMER VENDOR (customer must sign below)

I hereby authorize payment of incentive directly to vendor: _____
CUSTOMER SIGNATURE DATE

Provide required Tax ID # for Payee: _____
CUSTOMER TAX ID# VENDOR TAX ID#

TERMS & CONDITIONS

I have read and hereby agree to the Terms & Conditions and Program Requirements as stated on this form.

CUSTOMER SIGNATURE DATE VENDOR SIGNATURE DATE

TITLE TITLE

Incentives are subject to change and to availability – “first-come, first-served” basis. To reserve incentives, see page four. Duke Energy limits incentives to \$50,000 per fiscal year (July 1 through June 30) per facility for all technologies combined. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

Kentucky Food Services Incentive Application

Vending Equipment Controller

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive	Make/Model#	# of Units	Date Installed and Operable (mm/yy)	Total Incentive
Vending Equipment Controller (retrofit only)	\$50.00/controller				
Vending Equipment Controller (retrofit only)	\$50.00/controller				

Anti-Sweat Heater Control

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive*	Make/Model#	# of Doors	Cost of Project	Date Installed and Operable (mm/yy)	Total Incentive
Anti-Sweat Heater Controls	\$40.00/door					
Anti-Sweat Heater Controls	\$40.00/door					

*Incentive capped at 50% of project cost

Head Pressure Control

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive*	Make/Model#	# of Tons	Cost of Project	Date Installed and Operable (mm/yy)	Total Incentive
Head Pressure Controls	\$60.00/ton					
Head Pressure Controls	\$60.00/ton					

*Incentive capped at 50% of project cost

Night Covers For Displays

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive	Make/Model#	Lineal Feet	Date Installed and Operable (mm/yy)	Total Incentive
Night covers for displays	\$10/lineal ft.				
Night covers for displays	\$10/lineal ft.				

Kentucky Food Services Incentive Application

Efficient Refrigeration Condenser

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive	Make/Model#	# of Units	Tons per Unit	Date Installed and Operable (mm/yy)	Total Incentive
Efficient Refrigeration Condenser	\$12.00/ton					
Efficient Refrigeration Condenser	\$12.00/ton					

Energy Efficient Ice Machines

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive	Make/Model#	# of Units	Date Installed and Operable (mm/yy)	Total Incentive
Energy Efficient Ice Machines < 500 lbs/24 hrs	\$100.00/unit				
Energy Efficient Ice Machines 500-1000 lbs/24 hrs	\$150.00/unit				
Energy Efficient Ice Machines > 1000 lbs/24 hrs	\$200.00/unit				

ENERGY STAR Commercial Solid Door Refrigerator/Freezer

The equipment below is (check one): New Equipment Retrofit Equipment Replace Failed Equipment

Description	Incentive	Make/Model#	# of Units	Date Installed and Operable (mm/yy)	Total Incentive
ENERGY STAR Commercial Solid Door Refrigerators					
< 48 ft³	\$50.00/unit				
> 48 ft³	\$90.00/unit				
ENERGY STAR Commercial Solid Door Freezers					
< 48 ft³	\$50.00/unit				
> 48 ft³	\$90.00/unit				

FOR OFFICE USE ONLY

Total Incentive: \$ _____

Kentucky Food Services Incentive Application

Program Requirements

Food Services Specifications

- Vending Equipment Controllers: Designed to control cold drink machines dispensing non-perishable items. Controllers must include passive infrared sensor to turn off lighting and control compressor when area is unoccupied. Controller shall have the capability to cycle compressor to maintain product temperature at a maximum desired level. Setting of this maximum temperature level is up to the user. Due to the potential for damage to perishable products, freezer space vending equipment as well as vending equipment dispensing any perishable products are excluded from this program.
- Anti-Sweat Heater Controls (Replacing Refrigerated Display Cases): Installed control must be applied to door and frame heaters based on sensed humidity conditions (dew point, relative humidity, condensation on glass) to reduce or turn off the heaters at low humidity conditions. Glass display doors only are eligible for incentives. Energy Star rated solid door units do not qualify for this incentive.
- Efficient Refrigeration Condenser: Oversized condenser approach requirements include air cooled low temp 8°F, air cooled medium temp 13°F, and evaporative-cooled 18°F.
- Head Pressure Controls: Controls must be installed that vary head pressure based on outdoor air temperature. At least a 20° minimum variance below design head pressure should be achieved during milder weather conditions. Qualifying systems must have variable set-point floating head controls to adjust condensing temperatures in relation to outdoor air temperature. Incentives are only available to assist in the purchase of the hardware and installation. Incentive is limited to 50% of the project cost.
- Night Covers for Displays: To be eligible for incentives, the Covers must be applied to low and medium temperature, open cases (horizontal or vertical). Covers must be applied a minimum of 6 hours per day. New or retrofit applications are eligible.
- Commercial solid door refrigerators and freezers must be ENERGY STAR qualified. See list at http://www.energystar.gov/ia/products/prod_lists/commer_refrig_prod_list.xls
- Energy Efficient Ice Machines must meet CEE specification for Tier 1 or above. See specifications and list at: <http://www.cee1.org/com/com-ref/im-prod.pdf>.

Incentive Eligibility

- Incentives are only available to Duke Energy Kentucky retail electric commercial or industrial customers not in rate group TT (Time-of-Day Rate for Service at Transmission Voltage).
- Incentive will not be paid until equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Terms & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at anytime.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the appropriate places on this form to assign over the incentive. Customer agrees that such action constitutes an irrevocable assignment of the incentive. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- **Duke Energy limits incentive payments to a maximum of \$50,000 per fiscal year (July 1 through June 30) per facility for all eligible technologies combined. Incentives will be paid on a "first-come, first-served" basis.**
- To reserve incentives prior to project completion, submit an application form completed in its entirety signed by the customer. Check box indicating "reservation" and provide an estimated date of completion. Attach a copy of the proposal and submit to Duke Energy at the address indicated on the front of this application. If project is not completed by the estimated completion date, you must notify Duke Energy of a revised date or Duke Energy retains the right to release the money from the reservation pool for other completed projects.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentives recipient assumes all responsibilities for any tax consequences resulting from Duke Energy's incentive payment.

TERMS & CONDITIONS

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection by a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from any incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties.); and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.



INCENTIVE APPLICATION INSTRUCTIONS

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application.
3. Purchase and install energy-efficient equipment.
4. Complete and submit application within 90 days **after** equipment has been installed and is operational.
5. The following items must be included to verify projects. If they are not included, it will delay payment of incentive.
 - A. Please include an itemized invoice including cost, quantity, model number and manufacturer's data sheet for all measures.
 - B. Provide required tax ID# for payee.
6. Duke Energy may require site verification of projects that have been self installed, prior to payment of incentive.
7. Customer must sign and date the application after reviewing the Terms & Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
8. Incentive payouts are limited to a maximum of \$50,000 per fiscal year (July 1 through June 30) per facility for all eligible technologies combined: lighting, pumps, motors and cooling equipment.
9. Mail complete, signed application with all required documents to the following address:

Duke Energy
C&I DSM Programs
5632 W. 74th St.
Indianapolis, IN 46278
10. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

FREQUENTLY ASKED QUESTIONS ABOUT OUR INCENTIVES



WHO IS ELIGIBLE TO PARTICIPATE?

Duke Energy Kentucky retail electric commercial or industrial customers not in rate group TT (Time-of-Day Rate for Service at Transmission Voltage).

WHAT DEFINES A PARTICIPATING VENDOR?

Any business that assists Duke Energy customers with the purchase and/or installation of energy-efficient equipment. Business examples may include, energy service companies, electric or HVAC contractors, engineering or architectural firms, equipment manufacturers or distributors, etc.

HOW DO I BECOME A PARTICIPATING VENDOR?

Assist any eligible customer in completing the incentive application and submit it with the required paperwork to process the payment. Your company's name will be added to the participating vendor list used in customer mailings and on the Web. For your company to remain on the vendor list, you must submit at least one incentive application every 18 months.

IS A NEWLY CONSTRUCTED BUILDING ELIGIBLE FOR THE PROGRAM?

New construction is eligible for all incentives with the exception of the equipment indicated as retrofit only.

WHAT IF I WANT TO INSTALL MY OWN EQUIPMENT?

Duke Energy may require site verification for projects that have been self installed. Self installed labor costs are not eligible for incentives.

WHO RECEIVES THE INCENTIVE PAYMENT?

Incentive checks are paid directly to the customer unless the customer authorizes assignment of the payment directly to the vendor. The customer's signature is required on the application to assign payment to the vendor.

HOW LONG DO I HAVE TO SUBMIT THE INCENTIVE APPLICATION?

Incentive applications must be submitted within 90 days of project completion. Applications older than 90 days will be considered on an individual basis by Duke Energy staff.

WHAT HAPPENS IF INCENTIVES CHANGE BEFORE MY PROJECT IS COMPLETED?

Incentives are subject to change based on changes in the market. Duke Energy will post all changes at www.duke-energy.com and it will be reflected on program materials. Projects that were proposed prior to the date the incentives changed will be eligible for the incentive amount at the time the proposal was written. A copy of the proposal will be required. Projects without a proposal will be eligible for the old incentives if application is received within three months of the date the incentive was changed.